

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

***PATENT***

Inventor: Willis, et al. Docket No.: 10060.0100  
Serial No.: 09/844,198 Examiner: Jocelyn Greimel  
Filing Date: April 27, 2001 Art Unit: 3693  
Title: Computer-implemented Method and  
Apparatus for Adjusting the Cost Basis  
of a Security

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Commissioner:

This amendment is made in response to the Office Action mailed July 20, 2007.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 14 of this paper.